

# DTMB Non-Uniform Constellations design through PSO algorithm

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2017

## Outline

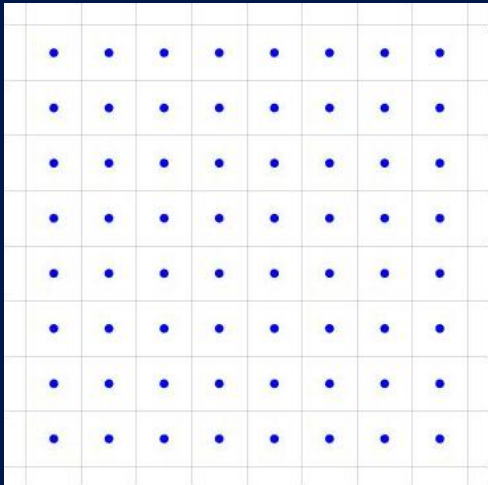
- Introduction
- Brief description of the Uniform Constellations, Non-Uniform Constellations and Capacity equation.
- Description of the Particle Swarm Optimization algorithm.
- Results of the optimization process.
- Conclusions

# Introducción

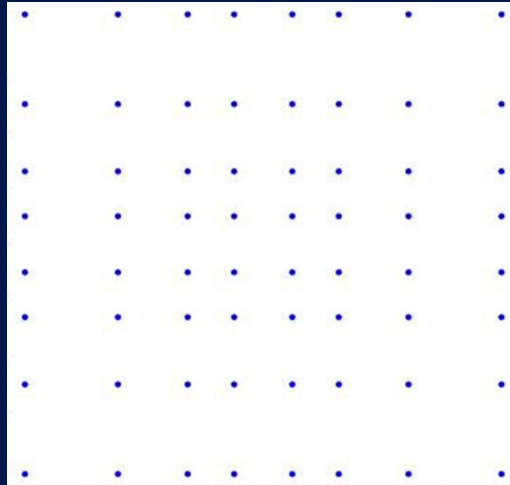
- In 1974, Foschini proposed Non-Uniform Constellations, which minimize symbol error rates over an AWGN channel.
- March 2016, Non-Uniform Constellations for ATSC 3.0.
- **November 2017, Proposal of Non-Uniform Constellations for DTMB.**

# Uniform Constellations & Non-Uniform Constellations

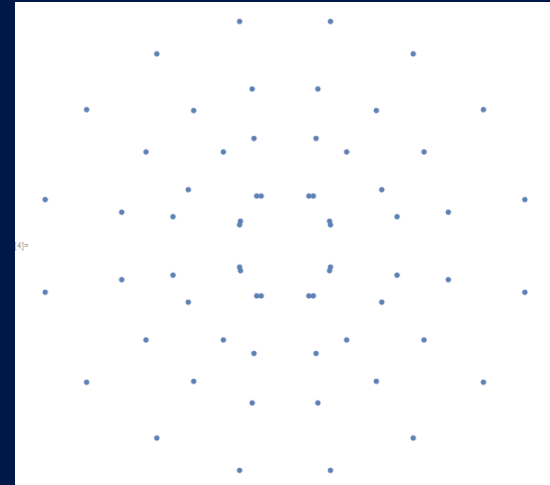
UC



1D-NUC



2D-NUC



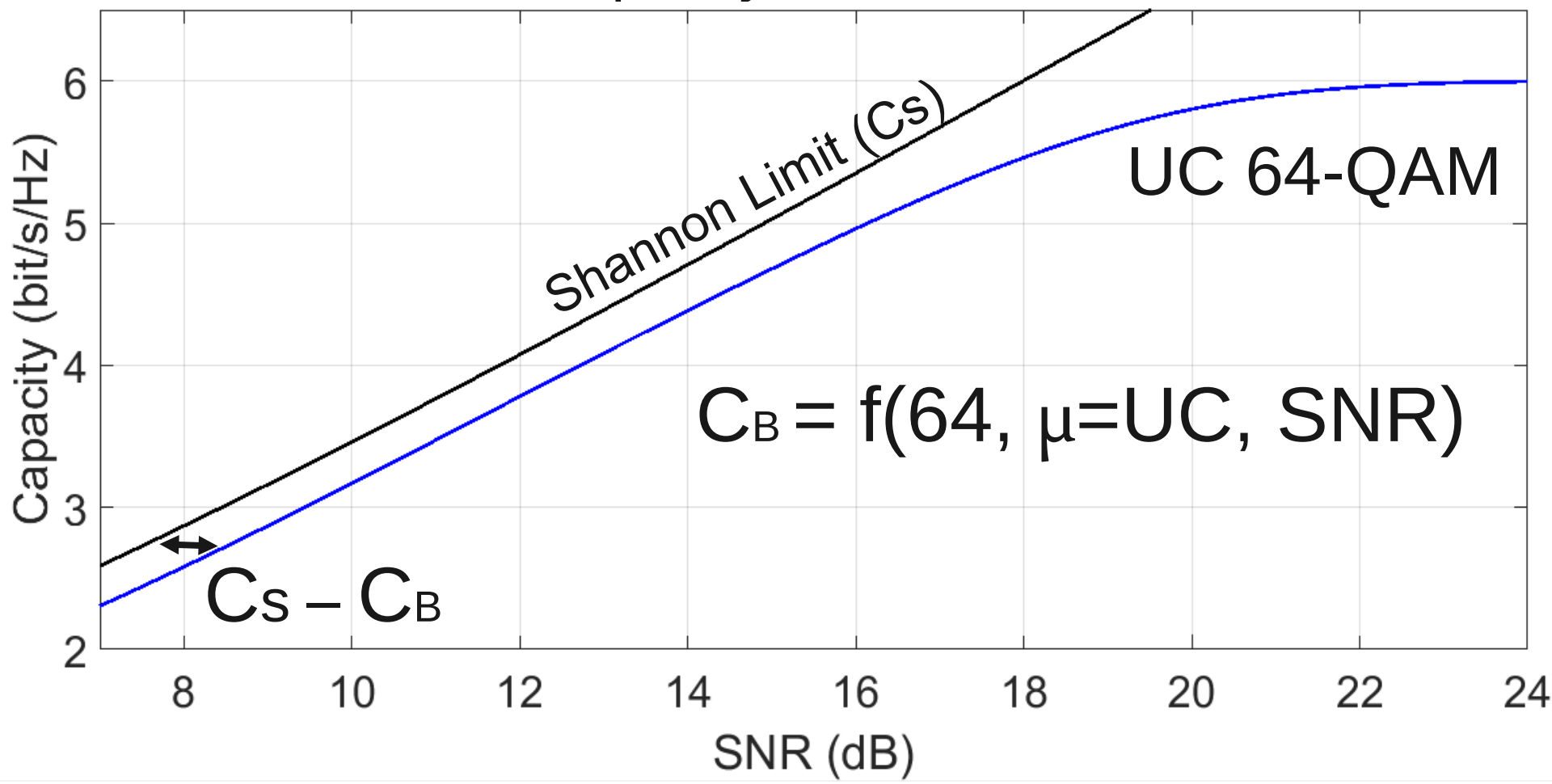
$\mathbf{X}$ : Symbol alphabet.

$\mu$ : Constellation shape.

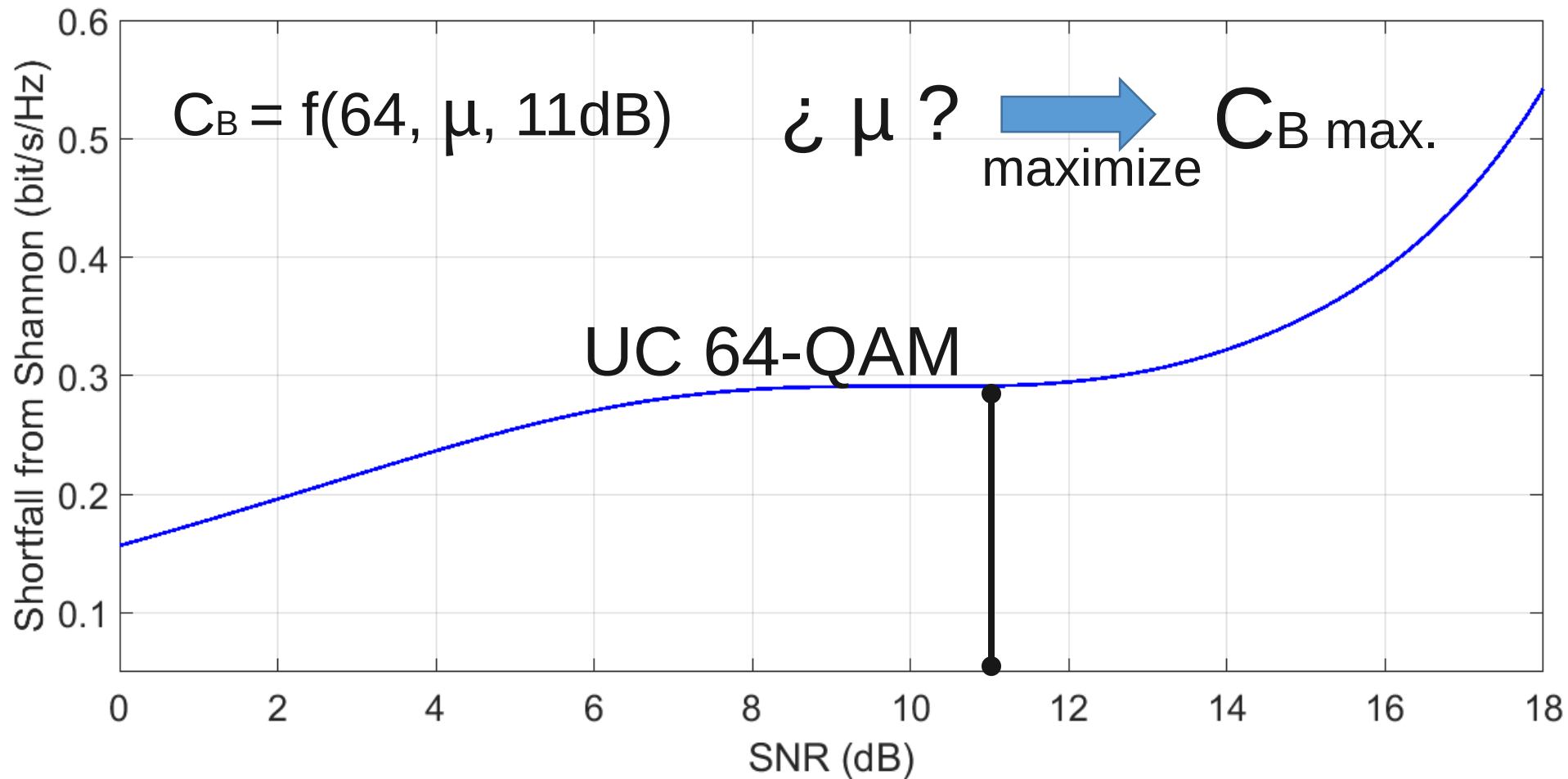
$C_B$ : Capacity.

$$C_B = f(\mathbf{X}, \mu, \text{SNR})$$

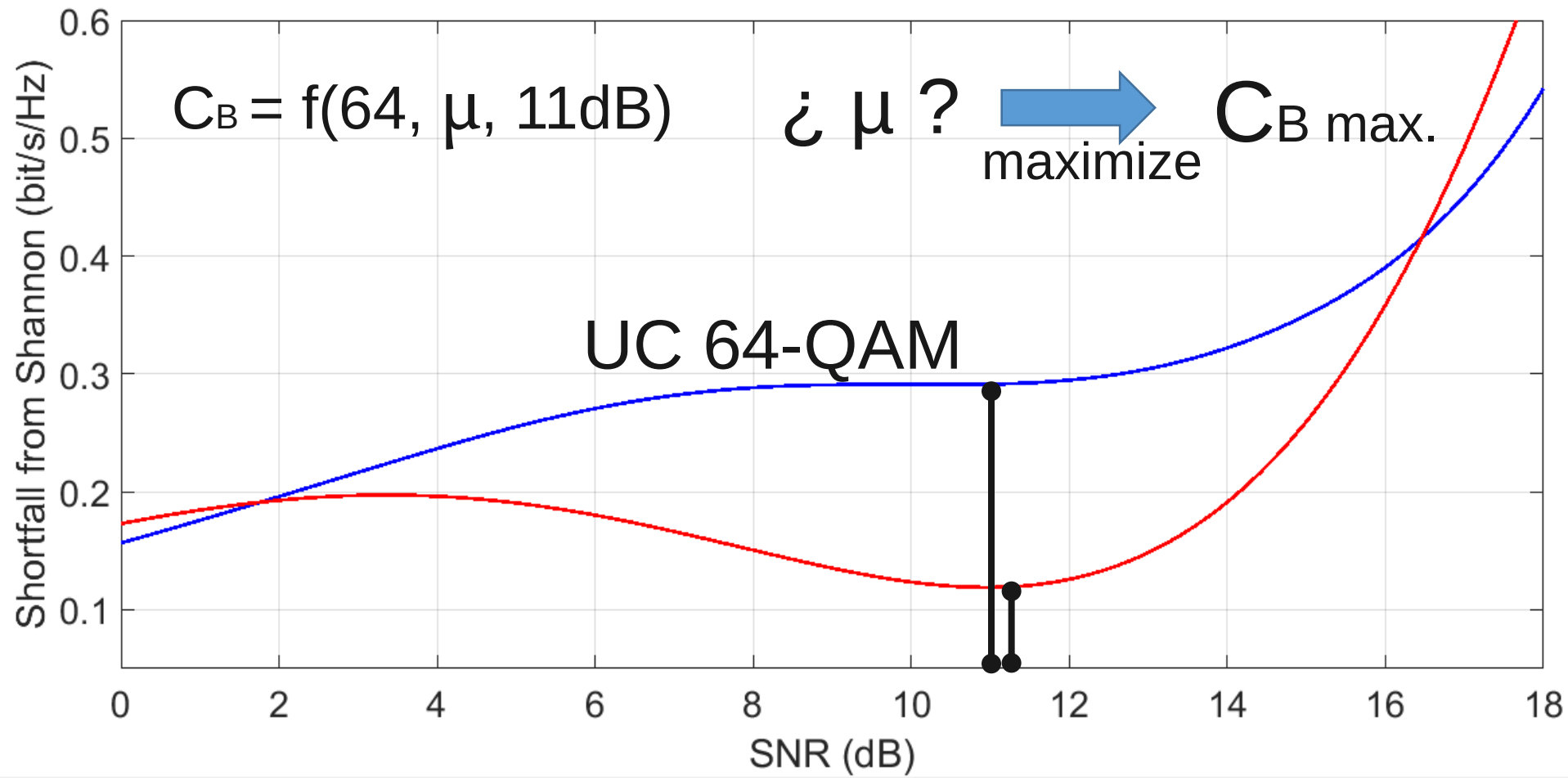
# Capacity vs SNR



# Gap from Shannon of the UC



# Gap from Shannon of the UC



# Constellation Capacity Equation, $C_B$

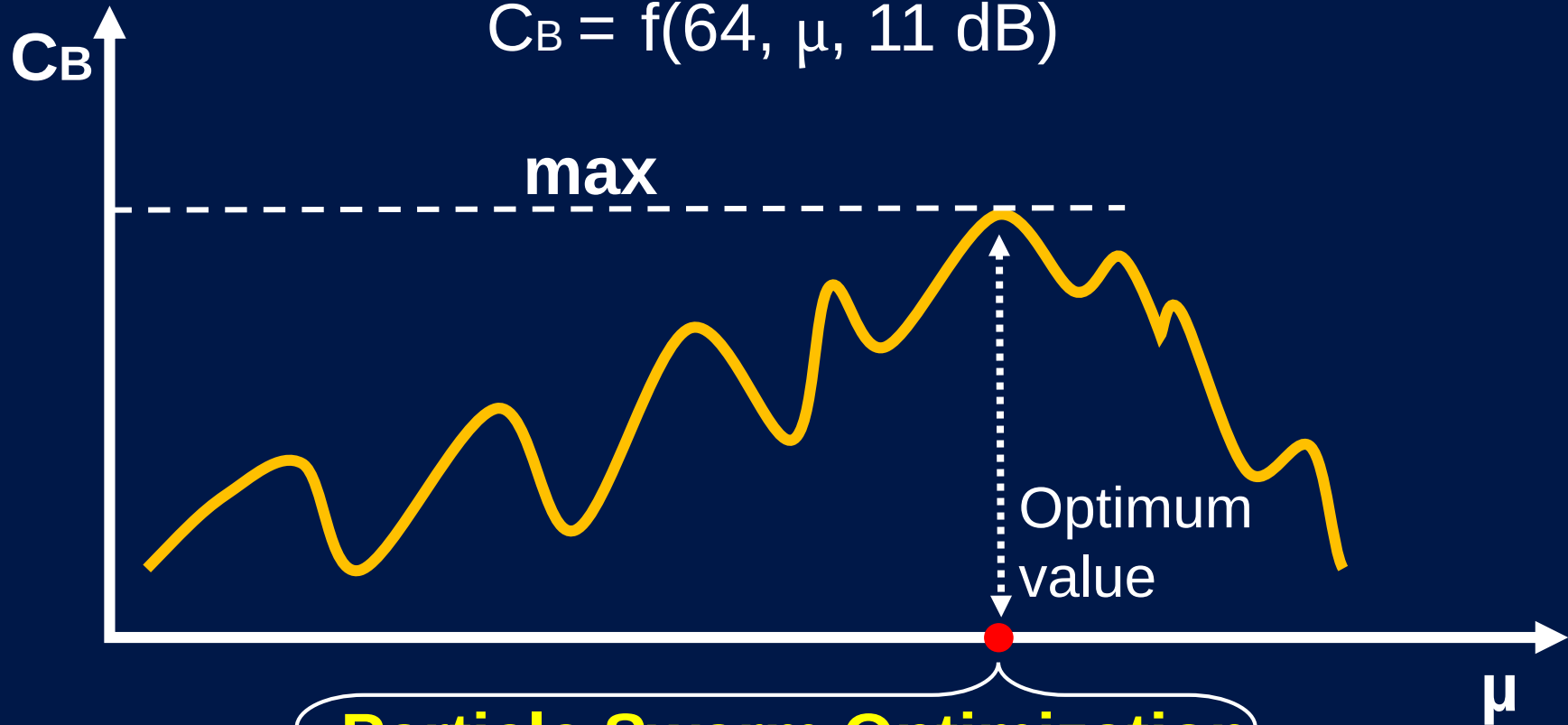
$$C_B[\underline{64, \mu, 11 \text{ dB}}] = M - \frac{1}{2^{M+1} \pi \sigma^2} \iint_{-\infty}^{\infty} \sum_{m=0}^{M-1} \sum_{b=0}^1 \sum_{x_l \in X_b^m} [ \quad ] dx dy$$

$$e^{\frac{-1}{2\sigma^2}[(x-\text{Re}[x_l])^2+(y-\text{Im}[x_l])^2]} \log_2 \frac{\sum_{x'_l \in X} e^{\frac{-1}{2\sigma^2}[(x-\text{Re}[x'_l])^2+(y-\text{Im}[x'_l])^2]}}{\sum_{x''_l \in X_b^m} e^{\frac{-1}{2\sigma^2}[(x-\text{Re}[x''_l])^2+(y-\text{Im}[x''_l])^2]}}$$



## **$C_B$ as function of the constellation shape ( $\mu$ )**

$$C_B = f(64, \mu, 11 \text{ dB})$$



• **Particle Swarm Optimization**

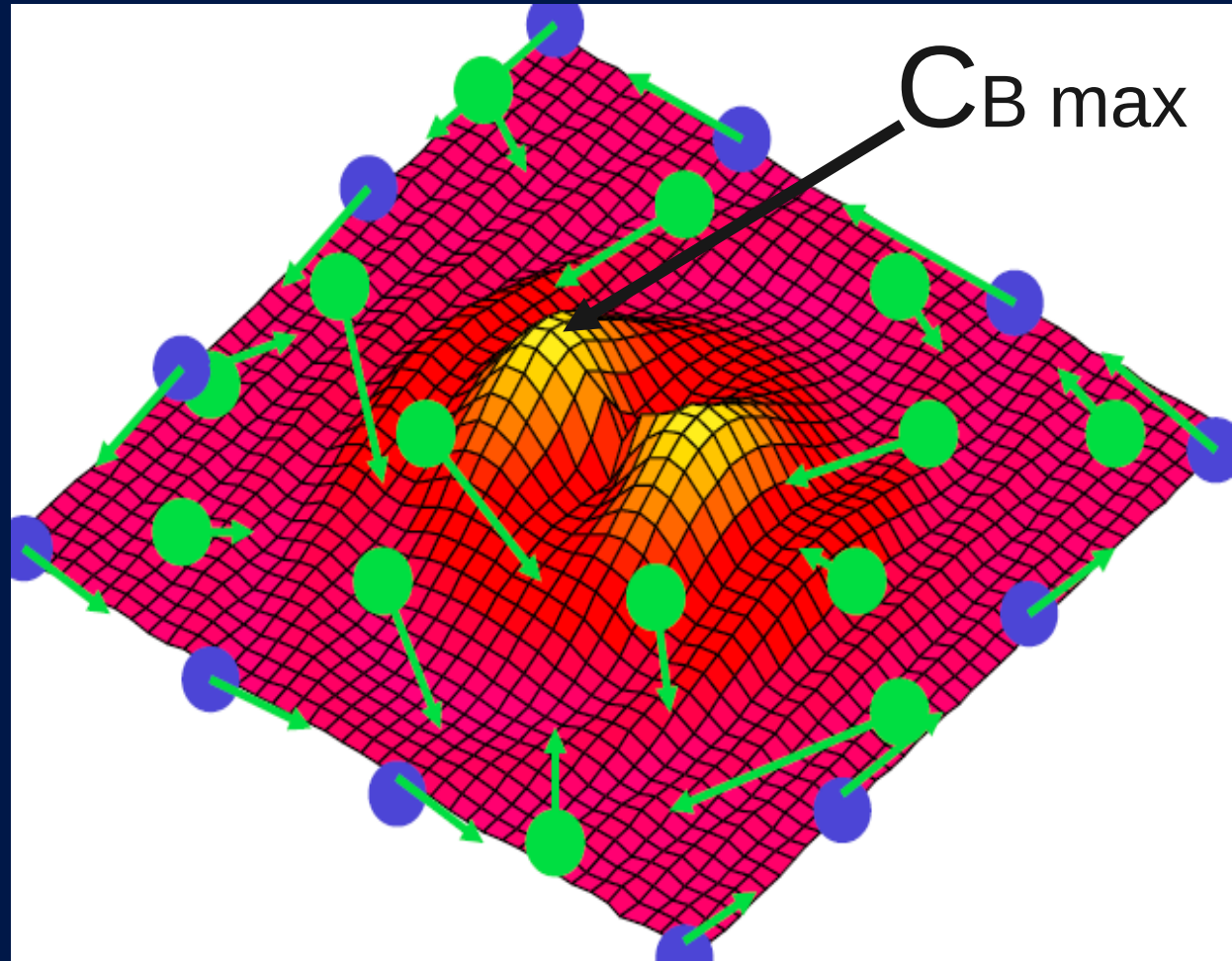
# Particle Swarm Optimization

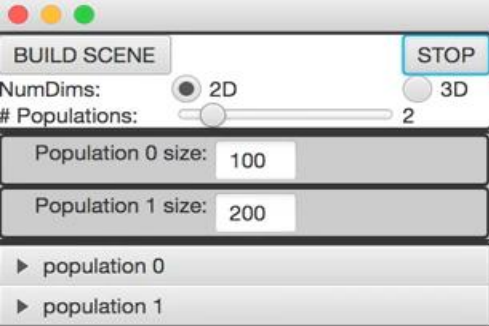
## PSO

- Developed by Kennedy and Eberhart 1995.
- Metaheuristic Algorithms.
- Based on the paradigm of swarm intelligence.
- Inspired in the social behavior of animals, like the swarm of fishes and birds.
- Simpler and Powerful optimization algorithm.

## Initialization of the PSO algorithm

- Population size
- Positions
- Velocities
- Iterations



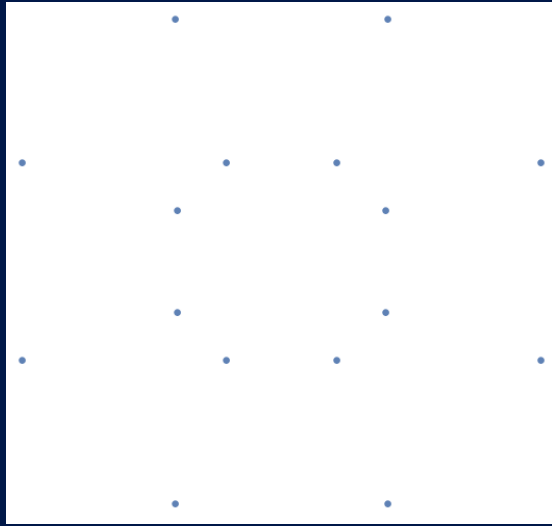


# Particle Swarm Optimization Visualization

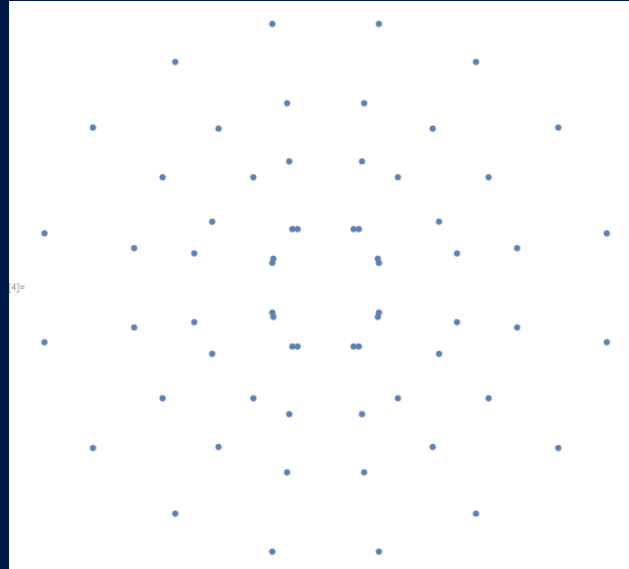
- Cognitive Component
- Social Component



## Optimization results, 2D NUCs

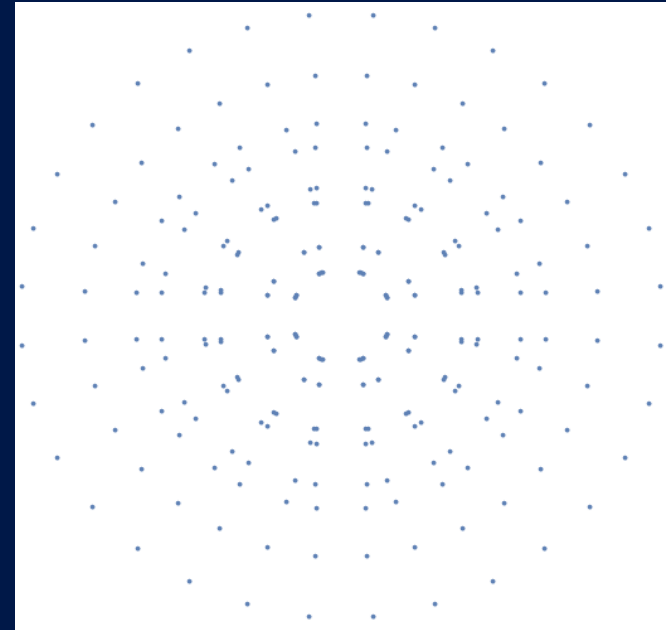


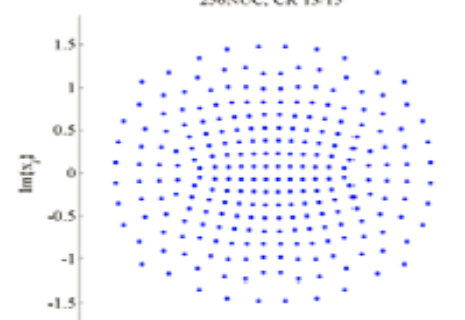
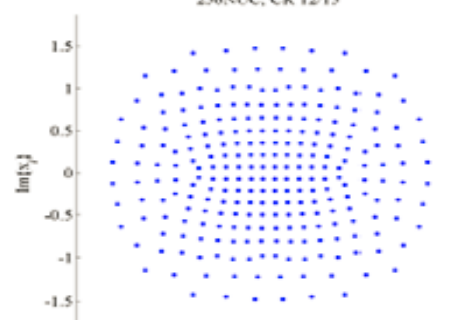
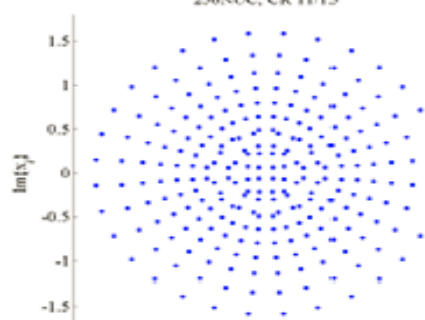
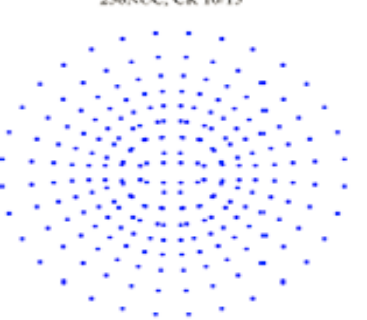
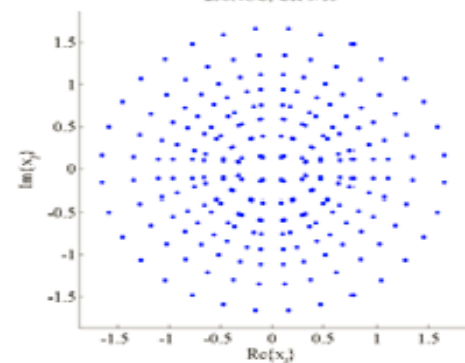
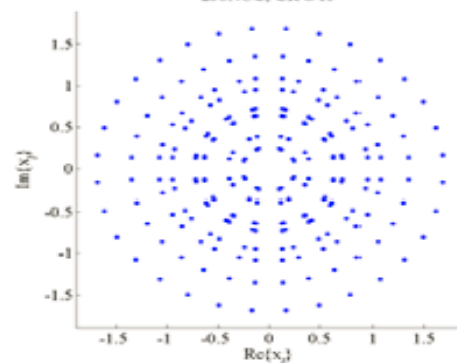
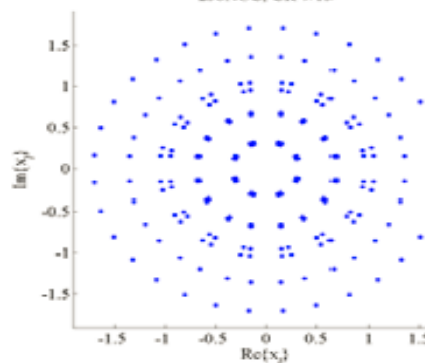
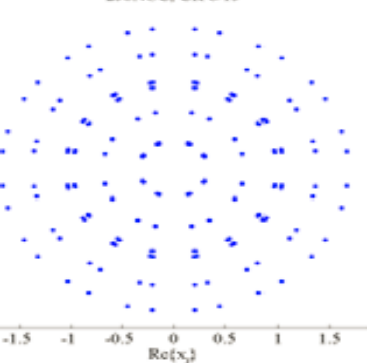
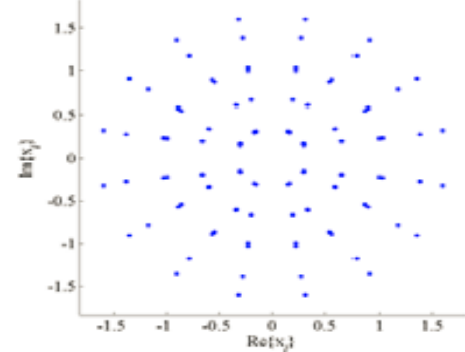
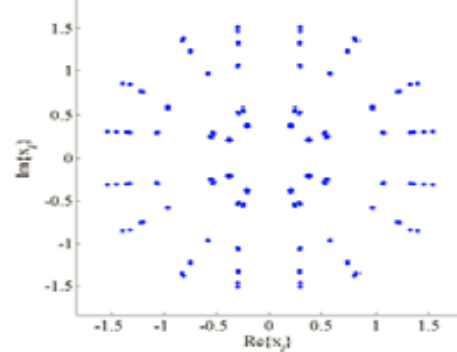
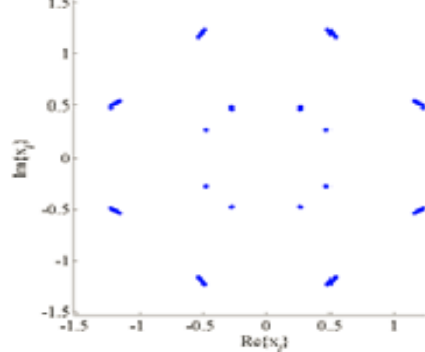
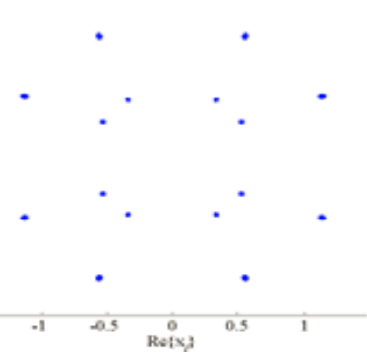
2D 16-QAM

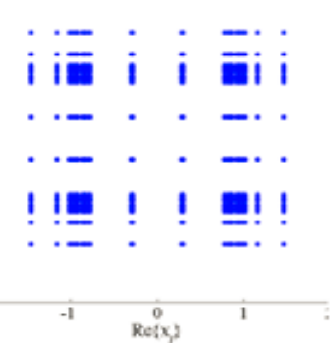


2D 64-QAM

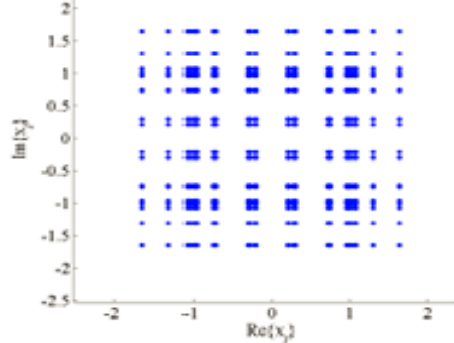
2D 256-QAM



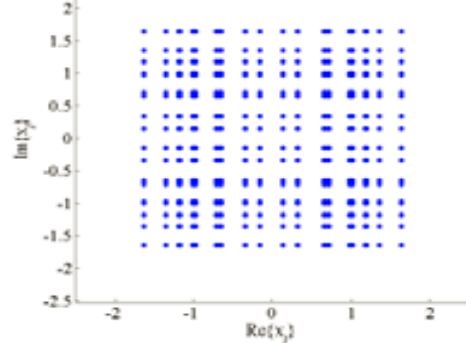




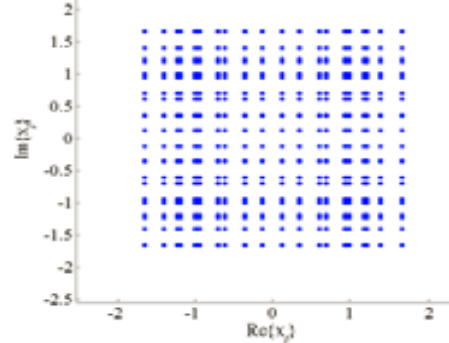
4kNUC, CR 6/15



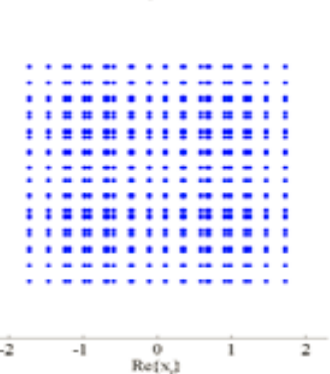
4kNUC, CR 7/15



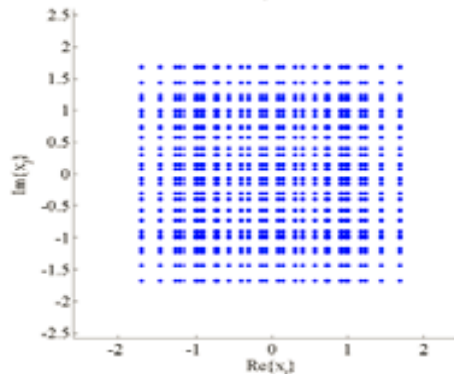
4kNUC, CR 8/15



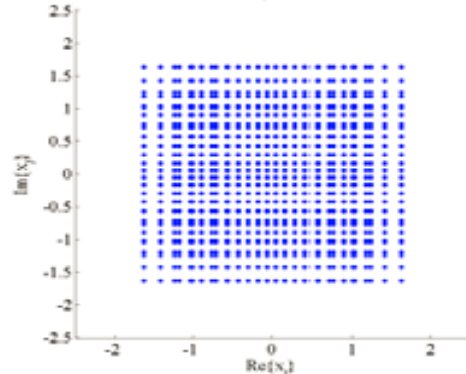
4kNUC, CR 9/15



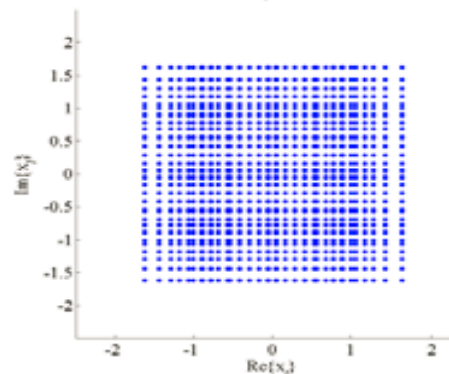
4kNUC, CR 10/15



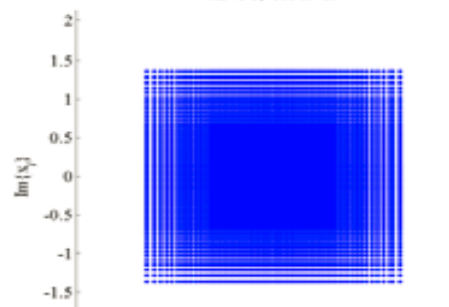
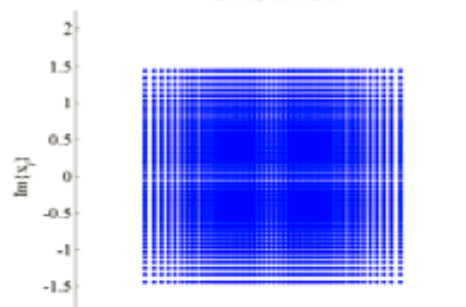
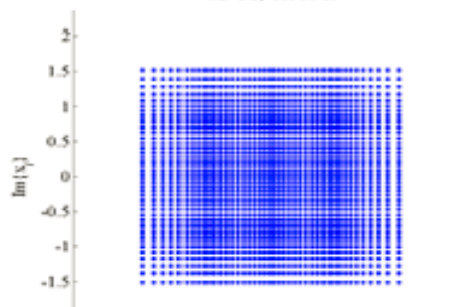
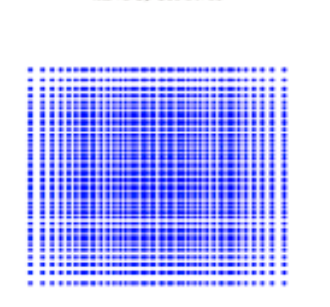
4kNUC, CR 11/15



4kNUC, CR 12/15



4/NUC, CR 13/15



## Conclusions

- Non-Uniform Constellations were designed for the DTMB standard.
- With the proposed constellations we improve the performance of DTMB standard: robustness, spectral efficiency.
- A generic algorithm to design Non-Uniform Constellations is proposed.
  - Capacity Maximization.
  - Particle Swarm Optimization algorithm.



**The Future Belongs** to those  
who prepare for it today.

- Malcolm X



# Thank You!

THANK YOU!

If you can't **fly** then **run**,  
If you can't **run** then **walk**,  
If you can't **walk** then **crawl**,  
**But whatever you do,**  
**You have to keep moving forward.**

- Martin Luther King Jr.

**The Future Belongs to those  
who prepare for it today.**

- Malcolm X

If you can't **fly** then **run**,  
If you can't **run** then **walk**,  
If you can't **walk** then **crawl**,  
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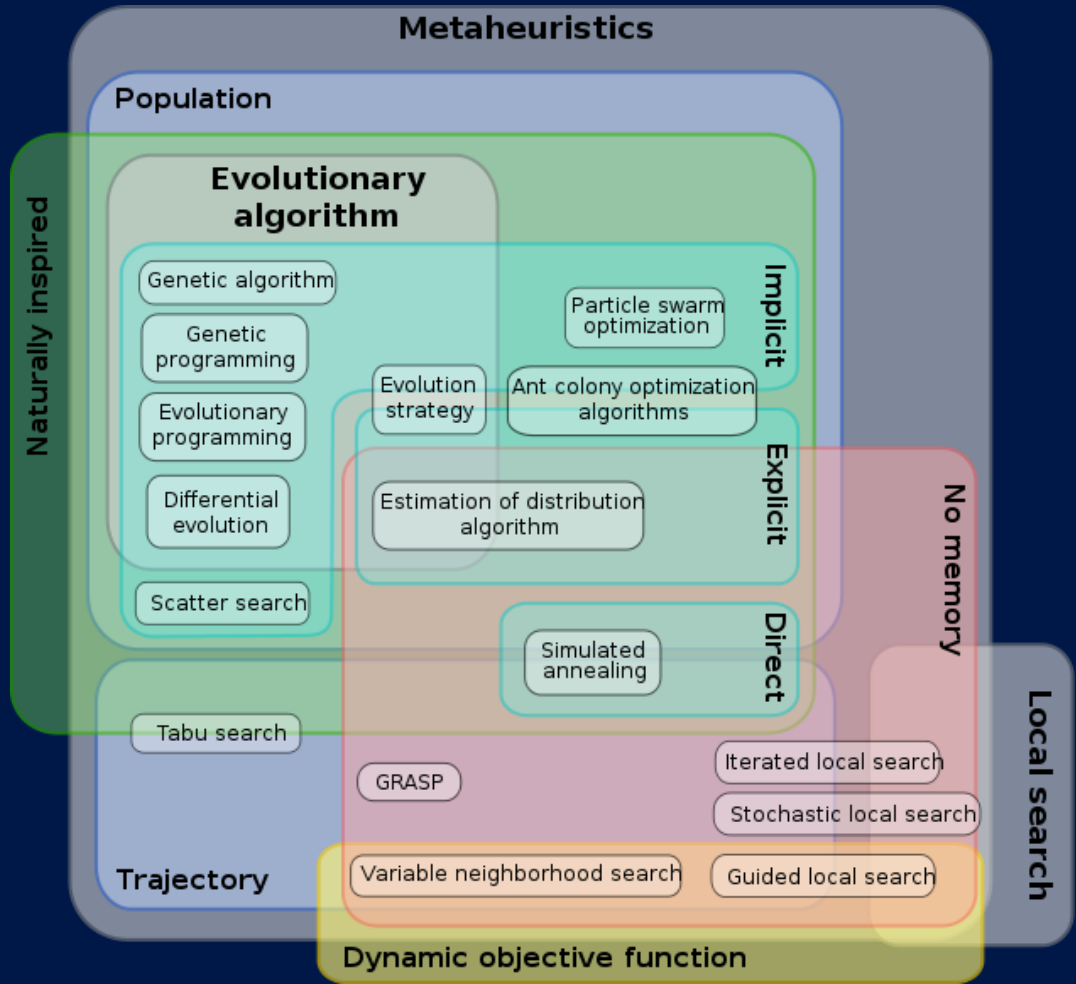
- Martin Luther King Jr.

## Introduction

“The future of television is to stop thinking of television as television.”

- 1985

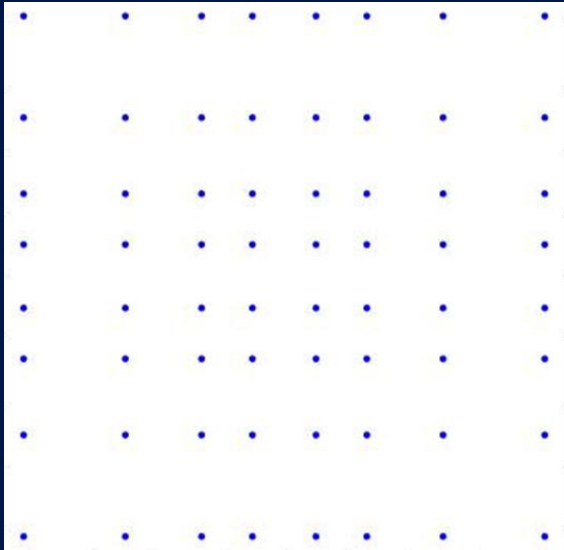
Nicholas Negroponte



# Constelaciones No-Uniformes

(NUC)

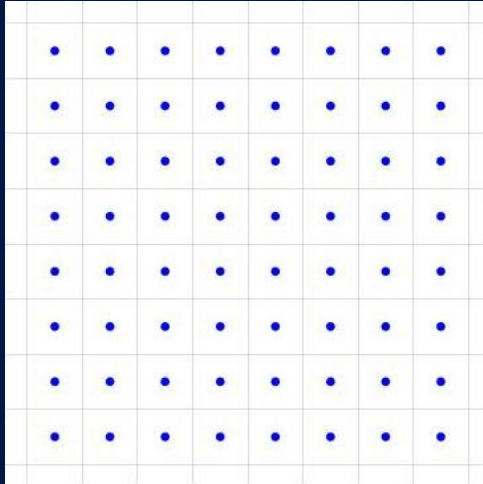
1D-NUC



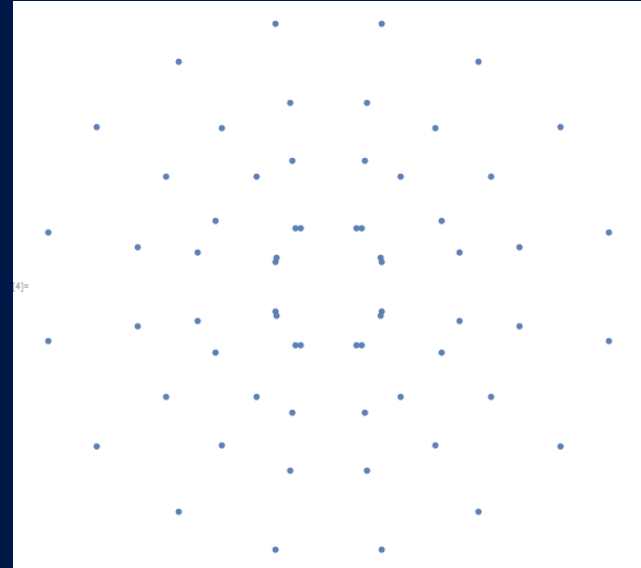
$X$ :

alfabeto de símbolo.

UC



2D-NUC

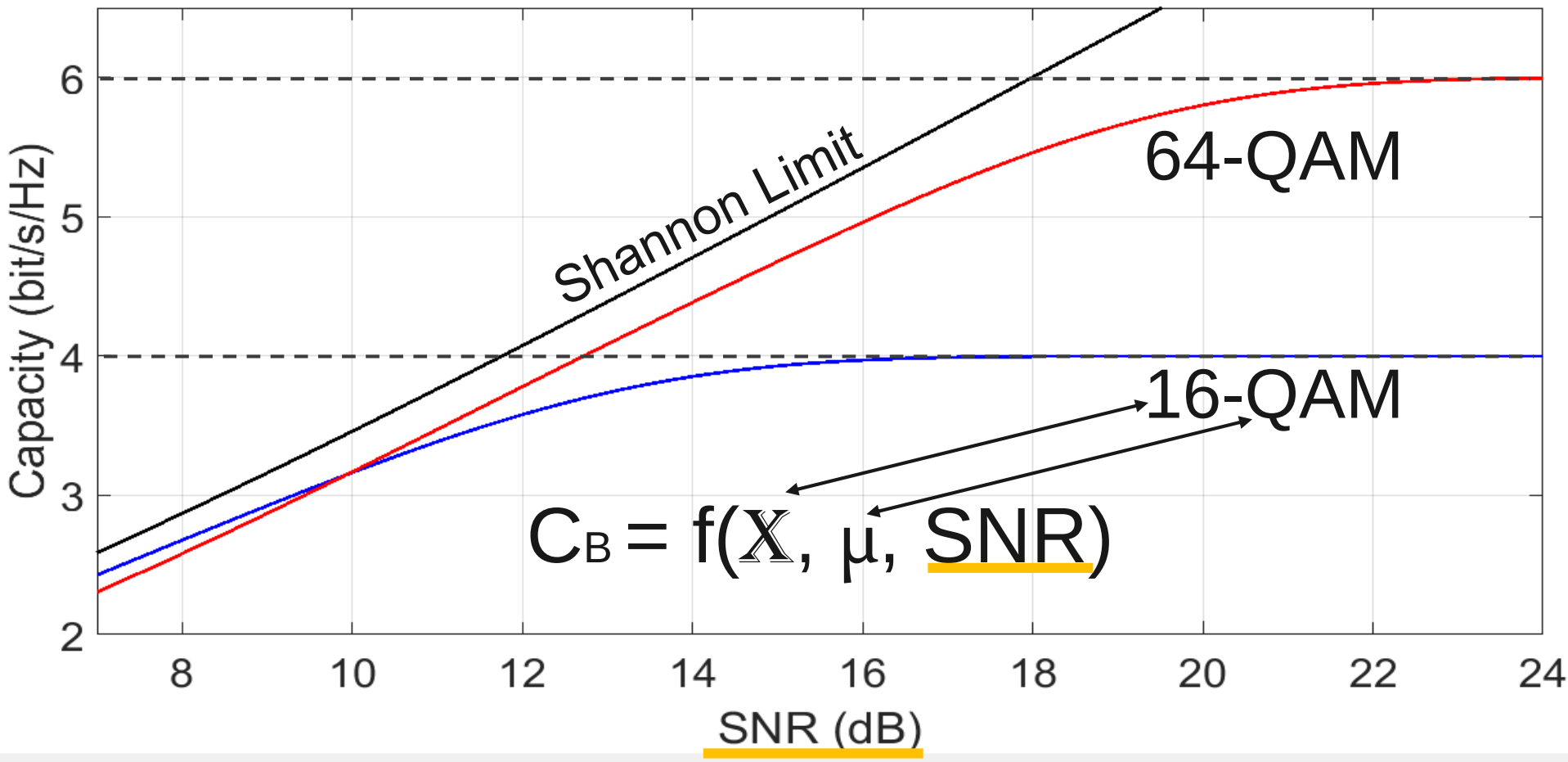


$\mu$ :

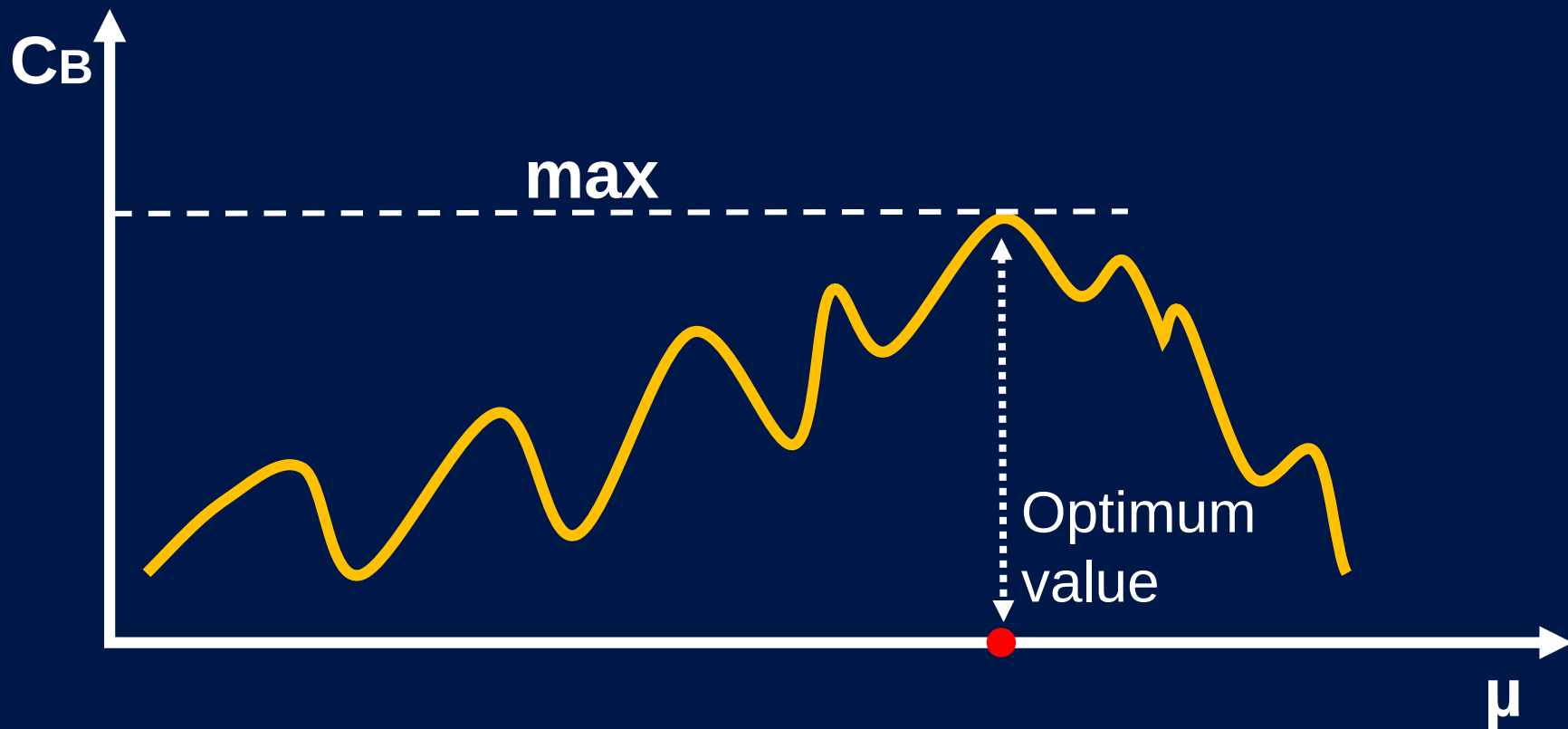
forma de la constelación.



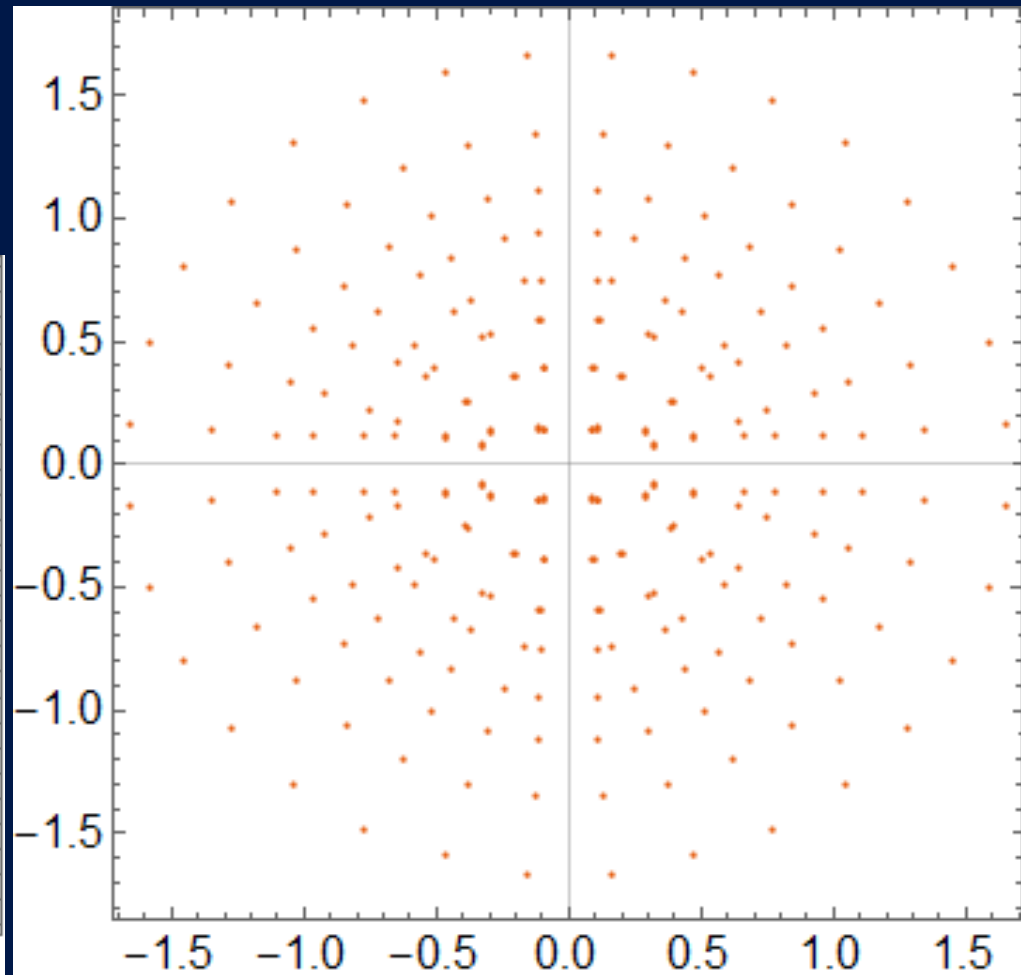
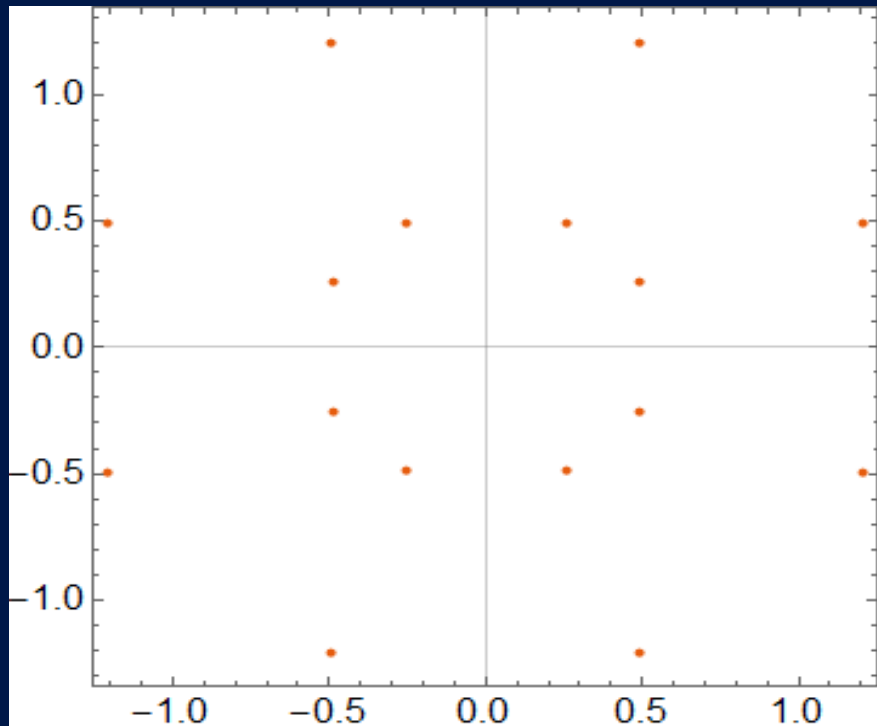
# Capacity vs SNR



# $C_B$ as function of the constellation shape ( $\mu$ )



## Designed 2D-NUCs





# Designed 2D- NUCs

## NUCs design criteria for DTMB standard

$$C_B = f(X, \mu, \text{SNR})$$

| X           | 16-QAM |      |       | 64-QAM |       |       | 256-QAM |       |       |
|-------------|--------|------|-------|--------|-------|-------|---------|-------|-------|
| FEC         | 0.4    | 0.6  | 0.8   | 0.4    | 0.6   | 0.8   | 0.4     | 0.6   | 0.8   |
| SNR<br>(dB) | 7.75   | 9.95 | 12.45 | 11.85  | 14.70 | 17.68 | 16.50   | 20.20 | 23.55 |

$\zeta$   $\mu$  ?   $C_B$  max.  
 maximize